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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Dialectic Technology SPV LLC</u>  (Last) (First) (Middle) <u>119 ROWAYTON AVENUE</u>  (Street) <u>NORWALK CT 06853</u>  (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>12/18/2025</u>	3. Issuer Name and Ticker or Trading Symbol <u>QUANTUM CORP /DE/ [ QMCO ]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
<u>Warrants (right to buy)</u>	<u>09/23/2025<sup>(1)</sup></u>	<u>09/23/2032<sup>(1)</sup></u>	<u>Common Stock</u>	<u>2,653,308<sup>(1)</sup></u>	<u>8.81<sup>(1)</sup></u>	<u>D</u>	

**Explanation of Responses:**

1. On September 23, 2025 ("Issuance Date"), the Issuer issued to Dialectic Technology SPV LLC ("Dialectic") a warrant (the "Forbearance Warrant") to purchase 2,653,308 (as may be adjusted pursuant to the Forbearance Warrant) shares of common stock, par value \$0.01 per share of the Issuer (the "Common Stock"), at an exercise price equal to \$8.81 per share (as adjusted from time to time in accordance with the Forbearance Warrant) on or after the Issuance Date and until the date that is seven (7) years from the Issuance Date. The Forbearance Warrant is subject to a 4.99% beneficial ownership limitation and cannot be exercised in excess of such ownership limitation without waiver by Dialectic. On December 22, 2025, Dialectic provided notice to the Issuer of the waiver of such limitation, which will be effective February 21, 2026.

/s/ Dialectic Technology SPV LLC, by John Fichthorn,  
Authorized Signatory 12/23/2025

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.