# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### SCHEDULE 13D

(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO  $\S$  240.13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO  $\S$  240.13d-2(a)

(Amendment No. 12)1

Quantum Corporation (Name of Issuer)

Common Stock, \$.01 par value per share (Title of Class of Securities)

> 747906501 (CUSIP Number)

ERIC SINGER
VIEX CAPITAL ADVISORS, LLC
745 Boylston Street, 3<sup>rd</sup> Floor
Boston, Massachusetts 02116

STEVE WOLOSKY, ESQ.

ELIZABETH GONZALEZ-SUSSMAN, ESQ.

OLSHAN FROME WOLOSKY LLP

1325 Avenue of the Americas

New York, New York 10019

(212) 451-2300

(Name, Address and Telephone Number of Person

Authorized to Receive Notices and Communications)

<u>January 7, 2020</u> (Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of  $\S\S 240.13d-1(e)$ , 240.13d-1(e), 240.13d-1(g), check the following box  $\Box$ .

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See § 240.13d-7 for other parties to whom copies are to be sent.

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP	No.	74790	0650

<u></u>	NAME OF REPORT	TING PERSON	
1	NAME OF REFORTING LESSON		
	VIEX Opport	unities Fund, LP – Series One*	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) [		
			(b) □
3	SEC USE ONLY		
3	SEC OSE ONET		
4	SOURCE OF FUND	S	
	WC		
5		SCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)	
6	CITIZENSHIP OR E	PLACE OF ORGANIZATION	
Ü	CITIZENSIIII OKT	Z. O.	
	DELAWARE		
NUMBER OF SHARES	7	SOLE VOTING POWER	
BENEFICIALLY		- 0 -	
OWNED BY	8	SHARED VOTING POWER	
EACH REPORTING		652 670	
PERSON WITH	9	652,679  SOLE DISPOSITIVE POWER	
	10	- 0 -	
	10	SHARED DISPOSITIVE POWER	
		652,679	
11	AGGREGATE AMO	DUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	652,679		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)		
13	I EKCENT OF CLA	SS KEI KESENTED DT AMOUNT IN KOW (11)	
	1.8%		
14	TYPE OF REPORTI	ING PERSON	
	PN		
	111		

<sup>\*</sup> This Series One is part of a series of VIEX Opportunities Fund, LP, a Delaware series limited partnership.

CUSIP	No.	74790	0650

1	NAME OF REPORT	TING BERSON	
1	IVAIVLE OF REFORTING LERSON		
		unities Fund, LP – Series Two*	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a)		
			(b) □
3	SEC USE ONLY		
-			
	GOV ID GE OF DV DV D		<del></del>
4	SOURCE OF FUND	S	
	WC		
5	CHECK BOX IF DIS	SCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)	
6	CITIZENSHIP OR P	LACE OF ORGANIZATION	
NII MDED OF	DELAWARE 7	SOLE VOTING POWER	
NUMBER OF SHARES	/	SOLE VOTING POWER	
BENEFICIALLY		- 0 -	
OWNED BY	8	SHARED VOTING POWER	
EACH REPORTING		159,421	
PERSON WITH	9	SOLE DISPOSITIVE POWER	
	10	- 0 - SHARED DISPOSITIVE POWER	
	10	SHARED DISTOSITIVE TOWER	
		159,421	
11	AGGREGATE AMO	OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	159,421		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)		
		• • • • • • • • • • • • • • • • • • • •	
	Less than 1%		
14	TYPE OF REPORTI	NG PERSUN	
	PN		

<sup>\*</sup> This Series Two is part of a series of VIEX Opportunities Fund, LP, a Delaware series limited partnership.

1	NAME OF REPOR	TING PERSON		
1	NAME OF REFORMING LEASON			
	VIEX Specia	al Opportunities Fund III, LP		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a)			
	(b) □			
3	SEC USE ONLY			
3	SEC USE ONL I			
4	SOURCE OF FUNI	DS		
	WC			
5		ISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)		
	CITIZENGUID OD	DV + GD OF OD GANGATON		
6	CITIZENSHIP OR	PLACE OF ORGANIZATION		
	DELAWAR	E		
NUMBER OF	7	SOLE VOTING POWER		
SHARES BENEFICIALLY				
OWNED BY	8	- 0 - SHARED VOTING POWER		
EACH		SIMILED VOTING TOWER		
REPORTING		2,336,364		
PERSON WITH	9	SOLE DISPOSITIVE POWER		
		- 0 -		
	10	SHARED DISPOSITIVE POWER		
11	AGGDEGATE AM	2,336,364 OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
11	AGGREGATE AM	OUT BENEFICIALLY OWNED BY EACH REFORTING LEASON		
	2,336,364			
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES □			
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)			
	6.207			
14	6.3% TYPE OF REPORT	TING DEDSON		
17	I I I E OF KEFOKI	IIIO I ERBON		
	PN			

1	NAME OF REPORT	ING PERSON	
	VIEV CD LLC		
2	VIEX GP, LLC  CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a) □  (b) □		
3	SEC USE ONLY		
4	SOURCE OF FUNDS	S	
5	AF CHECK BOX IF DIS	SCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)	
6	CITIZENSHIP OR PLACE OF ORGANIZATION		
NUMBER OF	DELAWARE 7	SOLE VOTING POWER	
SHARES BENEFICIALLY	/	- 0 -	
OWNED BY EACH	8	SHARED VOTING POWER	
REPORTING PERSON WITH	9	812,100 SOLE DISPOSITIVE POWER	
		- 0 -	
	10	SHARED DISPOSITIVE POWER	
		812,100	
11	AGGREGATE AMO	OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	812,100		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)		
	2.2%		
14	TYPE OF REPORTI	NG PERSON	
	00		

1	NAME OF DEPORT	EINC DED COM		
1	NAME OF REPORTING PERSON			
	VIEX Special Opportunities GP III, LLC			
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a)			
			(b) □	
3	SEC USE ONLY			
4	SOURCE OF FUND			
4	SOURCE OF FUNL	08		
	AF			
5		SCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)		
6	CITIZENSHIP OR I	PLACE OF ORGANIZATION		
	DEL AWARE			
NUMBER OF	DELAWARE 7	SOLE VOTING POWER		
SHARES	,	SOLE VOTINGTOWER		
BENEFICIALLY		- 0 -		
OWNED BY	8	SHARED VOTING POWER		
EACH				
REPORTING		2,336,364		
PERSON WITH	9	SOLE DISPOSITIVE POWER		
		- 0 -		
	10	SHARED DISPOSITIVE POWER		
	10	STRIKED DISTOSTITVE TO WER		
		2,336,364		
11	AGGREGATE AMO	OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
12	2,336,364  CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES			
12	CHECK BOX IF TH	IE AUGKEUATE AMOUNT IN KUW (11) EXCLUDES CERTAIN SHAKES		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)			
		• •		
	6.3%			
14	TYPE OF REPORT	ING PERSON		
	00			
	00			

1	NAME OF REPORT	TING PERSON		
	VIEX Capital Advisors, LLC			
2		OPRIATE BOX IF A MEMBER OF A GROUP	(a)	
3	SEC USE ONLY	SEC USE ONLY		
4	SOURCE OF FUND	S		
5	AF CHECK BOX IF DIS	SCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)		
6	CITIZENSHIP OR P	LACE OF ORGANIZATION		
NUMBER OF SHARES BENEFICIALLY	7	SOLE VOTING POWER	-	
OWNED BY EACH REPORTING	8	SHARED VOTING POWER 3,148,464		
PERSON WITH	9	SOLE DISPOSITIVE POWER  - 0 -		
	10	SHARED DISPOSITIVE POWER		
11	AGGREGATE AMO	3,148,464 DUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES			
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)  8.5%			
14	TYPE OF REPORTI	NG PERSON		

1	NAME OF REPOR	TING PERSON	
1	NAME OF REFORTING FERSON		
	Eric Singer		
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) $\square$		
			(b) □
3	SEC USE ONLY		
-			
	GOLIDGE OF FLDI	DG	
4	SOURCE OF FUNI	DS	
	AF		
5	CHECK BOX IF D	ISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)	
6	CITIZENSHIP OR	PLACE OF ORGANIZATION	
NUMBER OF	USA 7	SOLE VOTING POWER	
SHARES	/	SOLE VOTING POWER	
BENEFICIALLY		66,681	
OWNED BY	8	SHARED VOTING POWER	
EACH REPORTING		3,148,464	
PERSON WITH	9	SOLE DISPOSITIVE POWER	
	10	66,681 SHARED DISPOSITIVE POWER	
	10	SHARED DISPOSITIVE FOWER	
		3,148,464	
11	AGGREGATE AM	OUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	3,215,145		
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES		
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)		
		()	
	8.7%	TRIC DEDICON	
14	TYPE OF REPORT	ING PERSON	
	IN		

The following constitutes Amendment No. 12 to the Schedule 13D filed by the undersigned (the "Amendment No. 12"). This Amendment No. 12 amends the Schedule 13D as specifically set forth herein.

## Item 3. Source and Amount of Funds or Other Consideration.

Item 3 is hereby amended and restated to read as follows:

The Shares purchased by Series One were purchased with working capital (which may, at any given time, include margin loans made by brokerage firms in the ordinary course of business) in open market purchases, except as otherwise noted, as set forth in Schedule A, which is incorporated by reference herein. The aggregate purchase price of the 652,679 Shares beneficially owned by Series One is approximately \$3,882,231, including brokerage commissions.

The Shares purchased by Series Two were purchased with working capital (which may, at any given time, include margin loans made by brokerage firms in the ordinary course of business) in open market purchases, except as otherwise noted, as set forth in Schedule A, which is incorporated by reference herein. The aggregate purchase price of the 159,421 Shares beneficially owned by Series Two is approximately \$880,205, including brokerage commissions.

The Shares purchased by VSO III were purchased with working capital (which may, at any given time, include margin loans made by brokerage firms in the ordinary course of business) in open market purchases, except as otherwise noted, as set forth in Schedule A, which is incorporated by reference herein. The aggregate purchase price of the 2,336,364 Shares beneficially owned by VSO III is approximately \$11,537,430, including brokerage commissions.

The 66,681 Shares owned directly by Mr. Singer were awarded to him in his capacity as a director of the Issuer.

## Item 5. <u>Interest in Securities of the Issuer.</u>

Items 5(a)-(c) are hereby amended and restated to read as follows:

The aggregate percentage of Shares reported owned by each Reporting Person is based upon 36,941,906 Shares outstanding, which is the total number of Shares outstanding as of October 31, 2019, as reported in the Issuer's Quarterly Report on Form 10-Q, filed with the Securities and Exchange Commission on November 5, 2019.

#### A. Series One

(a) As of the close of business on January 9, 2020, Series One beneficially owned 652,679 Shares.

Percentage: Approximately 1.8%

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 652,679
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 652,679
- (c) The transactions in the Shares by Series One during the past sixty days are set forth in Schedule A and are incorporated herein by reference.

## B. Series Two

(a) As of the close of business on January 9, 2020, Series Two beneficially owned 159,421 Shares.

Percentage: Less than 1%

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 159,421
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 159,421
- (c) The transaction in the Shares by Series Two during the past sixty days is set forth in Schedule A and is incorporated herein by reference.

## C. VSO III

(a) As of the close of business on January 9, 2020, VSO III beneficially owned 2,336,364 Shares.

Percentage: Approximately 6.3%

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 2,336,364
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 2,336,364
- (c) The transaction in the Shares by VSO III during the past sixty days is set forth in Schedule A and is incorporated herein by reference.

## D. VIEX GP

(a) VIEX GP, as the general partner of Series One and Series Two, may be deemed the beneficial owner of the (i) 652,679 Shares owned by Series One and (ii) 159,421 Shares owned by Series Two.

Percentage: Approximately 2.2%

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 812,100
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 812,100
- (c) VIEX GP has not entered into any transactions in the Shares during the past sixty days. The transactions in the Shares on behalf of each of Series One and Series Two during the past sixty days are set forth in Schedule A and are incorporated herein by reference.

## E. VSO GP III

(a) VSO GP III, as the general partner of VSO III, may be deemed the beneficial owner of the 2,336,364 Shares owned by VSO III.

Percentage: Approximately 6.3%

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 2,336,364
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 2,336,364
- (c) VSO GP III has not entered into any transactions in the Shares during the past sixty days. The transaction in the Shares on behalf of VSO III during the past sixty days is set forth in Schedule A and is incorporated herein by reference.

## F. VIEX Capital

(a) VIEX Capital, as the investment manager of Series One, Series Two and VSO III, may be deemed the beneficial owner of the (i) 652,679 Shares owned by Series One, (ii) 159,421 Shares owned by Series Two and (iii) 2,336,364 owned by VSO III.

Percentage: Approximately 8.5%

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 3,148,464
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 3,148,464
- (c) VIEX Capital has not entered into any transactions in the Shares during the past sixty days. The transactions in the Shares on behalf of each of Series One, Series Two and VSO III during the past sixty days are set forth in Schedule A and are incorporated herein by reference.

## G. Eric Singer

(a) As of the close of business on January 9, 2020, Mr. Singer beneficially owned 66,681 Shares. Mr. Singer, as the managing member of VIEX GP and VIEX Capital, may be deemed the beneficial owner of the (i) 652,679 Shares owned by Series One, (ii) 159,421 Shares owned by Series Two and (iii) 2,336,364 owned by VSO III.

Percentage: Approximately 8.7%

- (b) 1. Sole power to vote or direct vote: 66,681
  - 2. Shared power to vote or direct vote: 3,148,464
  - 3. Sole power to dispose or direct the disposition: 66,681
  - 4. Shared power to dispose or direct the disposition: 3,148,464
- (c) Mr. Singer has not entered into any transactions in the Shares during the past sixty days. The transactions in the Shares on behalf of each of Series One, Series Two and VSO III during the past sixty days are set forth in Schedule A and are incorporated herein by reference.

The filing of this Schedule 13D shall not be deemed an admission that the Reporting Persons are, for purposes of Section 13(d) of the Securities Exchange Act of 1934, as amended, the beneficial owners of any securities of the Issuer that he or it does not directly own. Each of the Reporting Persons specifically disclaims beneficial ownership of the securities reported herein that he or it does not directly own.

## **SIGNATURES**

After reasonable inquiry and to the best of his knowledge and belief, the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: January 9, 2020

VIEX Opportunities Fund, LP - Series One

By: VIEX GP, LLC General Partner

By: /s/ Eric Singer

Name: Eric Singer Title: Managing Member

VIEX Opportunities Fund, LP - Series Two

By: VIEX GP, LLC General Partner

By: /s/ Eric Singer

Name: Eric Singer Title: Managing Member

VIEX GP, LLC

By: /s/ Eric Singer

Name: Eric Singer Title: Managing Member

VIEX Special Opportunities Fund III, LP

By: VIEX Special Opportunities GP III, LLC

General Partner

By: /s/ Eric Singer

Name: Eric Singer Title: Managing Member

VIEX Special Opportunities GP III, LLC

By: /s/ Eric Singer

Name: Eric Singer Title: Managing Member

VIEX Capital Advisors, LLC

By: /s/ Eric Singer

Name: Eric Singer Title: Managing Member

/s/ Eric Singer

Eric Singer

# SCHEDULE A

# Transactions in the Shares during the past sixty days

Nature of the Transaction	Securities Purchased/(Sold)	Price Per Share(\$)	Date of <u>Purchase / Sale</u>
	VIEX OPPORTUNITIES FUNI	D, LP – SERIES ONE	
Sale of Common Stock	(90,304)	5.7750	12/10/2019
In-kind distribution to limited partner	(142,000)		01/07/2020
In-kind distribution to limited partner	(41,000)		01/08/2020
	<u>VIEX OPPORTUNITIES FUNI</u>	·	
Sale of Common Stock	(17,227)	5.7750	12/10/2019
	VIEX SPECIAL OPPORTUN	ITIES FUND III, LP	
Sale of Common Stock	(252,469)	5.7750	12/10/2019