# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Time of Type Iv	Responses	)														
1. Name and Address of Reporting Person * FICHTHORN JOHN				2. Issuer Name and Ticker or Trading Symbol QUANTUM CORP /DE/ [QMCO]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  _X_ Director 10% Owner							
(Last) (First) (Middle) C/O QUANTUM CORPORATION, 224 AIRPORT PARKWAY, SUITE 550			J. L	3. Date of Earliest Transaction (Month/Day/Year) 12/03/2019					Office	r (give title belo	w)	Other (spec	rify belov	v)		
(Street)			4. It	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)  _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person							
SAN JOSE,	, CA 951	(State)	(Zip	0)												
	•.					1						osed of, or I			I	
1.Title of Security (Instr. 3)			Date	y/Year) Exect any	2A. Deemed Execution Date, if any (Month/Day/Year)	(Instr. 8)	tion	(A) or Disposed of (I (Instr. 3, 4 and 5)			Beneficial Reported	t of Securities lly Owned Following Transaction(s)			p Indi Ben	7. Nature of Indirect Beneficial
				(Mon		Code	V	Amount	(A) or (D)	Price	(Instr. 3 ar	nd 4)		Direct (D or Indirec (I) (Instr. 4)	ndirect (Instr.	
Common Sto	tock (1)		12/03/203	19		S		969,900	D D	\$ 5.25	0			I	Dia Ant	d by electic tithesis tners,
Reminder: Repo	port on a s	eparate line	for each class	s of securities	beneficially	owned direc	Per cor	sons wh	o respo n this fo	rm ar	e not requ	ction of inf ired to res OMB cont	pond un	less	EC 147	74 (9-02)
			Ta	able II - Deriv (e.g.,	ative Securi puts, calls, v											
Security or I (Instr. 3)	3. Transaction Date r Exercise rice of derivative ecurity		Executive Execut	n 3A. Deemed 4. Execution Date, if Transa		5.	6. Date Exercisable and Expiration Dat (Month/Day/Year)		cisable on Date	7. T Am Und Sec	itle and ount of derlying urities tr. 3 and	Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Forn Secu	n of Î	Ownershi (Instr. 4) D)
	curity					(A) or Disposed of (D) (Instr. 3, 4, and 5)					Amount		Reported Transaction	on(s) or In	r. 4)	

### **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
FICHTHORN JOHN C/O QUANTUM CORPORATION 224 AIRPORT PARKWAY, SUITE 550 SAN JOSE, CA 95110	X					

### **Signatures**

/s/ Regan MacPherson, Attorney-in-Fact	12/05/2019

**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 3, 2019, Dialectic Antithesis Partners, LP crossed 969,900 shares of QMCO Common Stock to its affiliate, B. Riley Financial, Inc., its ultimate parent company.
  - BR Dialectic Capital Management, LLC is the investment manager of Dialectic Antithesis Partners, LP and, as such, shares voting and dispositive power over the securities held by Dialectic Antithesis Partners, LP. The Reporting Person is a portfolio manager for BR Dialectic Capital Management, LLC and therefore shares voting and
- (2) dispositive power over the securities, but the Reporting Person disclaims beneficial ownership of any of QMCO's securities in which he does not have a pecuniary interest or that he does not directly own. BR Dialectic Capital Management, LLC is a wholly owned subsidiary of B. Riley Financial, Inc., a publicly traded Delaware corporation (Nasdaq: RILY). B. Riley Financial, Inc. is the holder of the reported securities as a result of the reported transaction.

#### Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

#### POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints Regan MacPherson and Josie Buensuceso, signing singly, the undersigned's true and lawful attorney-in-fact to:

- (1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Quantum Corporation (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and
- (3) take any other action in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 5th day of December 2019.

By: /s/ John Fichthorn

Name: John Fichthorn