## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Ty	pe Response	s)		•												
1. Name and Address of Reporting Person ** Rothman Marc E				2. Issuer Name <b>and</b> Ticker or Trading Symbol QUANTUM CORP /DE/ [QMCO]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner						
(Last) (First) (Middle) C/O QUANTUM CORPORATION,, 224 AIRPORT PARKWAY, SUITE 550				3. Date of Earliest Transaction (Month/Day/Year) 09/05/2019						Office	r (give title belo	ow)	Other (specify	below)		
(Street) SAN JOSE, CA 95110				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1.Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	any	ution Date, if	(Instr. 8)		tion	4. Securities Acquire (A) or Disposed of (Instr. 3, 4 and 5)		of (D)	Beneficial Reported	nt of Securities ally Owned Following Transaction(s)		6. Ownership Form:	Beneficial
				(Month/Day/Y		Coo	de	v	Amount	(A) or (D)	Price	(Instr. 3 a	nd 4)		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock (1) 09/05/2		09/05/2019			A			50,000	A	\$ 0	72,648		D			
Common Stock (2) 09/05/2019					A			20,833	A	\$ 0	93,481			D		
				Derivative Se			uire	containe fo	ained in orm dis sposed o	this for plays a f, or Ben	rm ar curre reficia	e not requently valid	ction of inf ired to res OMB cont	spond unle	ess	1474 (9-02)
			n 3A. Deemed	· · · · · · · · · · · · · · · · · · ·		5.		6. Date Exercisable and Expiration Date		7. T	Citle and count of	8. Price of Derivative		of 10. Owners	11. Natur	
Security	or Exercise Price of Derivative Security	(Month/Day/	Year) any	Code (Instr. 8)					onth/Day/Year)		Uno Sec	derlying urities str. 3 and	Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Form o Derivat Security Direct ( or Indir	Beneficia Ownersh (Instr. 4)
				Code	V	(A) (		Date Exerc		Expiration Date	n Titl	Amount or Number of Shares				

### **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Rothman Marc E C/O QUANTUM CORPORATION, 224 AIRPORT PARKWAY, SUITE 550 SAN JOSE, CA 95110	X						

#### **Signatures**

/s/ Marc E. Rothman	09/09/2019			
**Signature of Reporting Person	Date			

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant of Restricted Stock Units ("RSUs"), each of which represents a contingent right to receive one share of common stock of Quantum. The RSUs were fully vested upon the grant date September 5, 2020. Quantum's Board of Directors determined to suspend cash and equity compensation for all non-employee directors as of August 1, 2018 as a result of Quantum not being current with its SEC filings at that time, the financing needs of Quantum at that time and other factors. These RSUs were approved as fully vested in recognition of the Reporting Person's past services as a director.
- Grant of RSUs, each of which represents a contingent right to receive one share of common stock of Quantum. The RSUs vest upon the earlier of the Quantum's 2020 (2) Annual Meeting or September 5, 2020. These RSUs were approved in connection with the reinstatement of Quantum's normal equity compensation program for non-employee directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.