SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

	0, 0000	011 00(11) 01	The Investment Company Act of 1940	·			
1. Name and Address of Reporting Person [*] Moorehead Lewis W.	2. Date of Event Requi Statement (Month/Day, 04/04/2025	· • •	3. Issuer Name and Ticker or Trading Symbol <u>QUANTUM CORP /DE/</u> [QMCO]				
(Last) (First) (Middle) C/O QUANTUM CORPORATION 224 AIRPORT PARKWAY SUITE 550 (Street) SAN JOSE CA 95110 (City) (State) (Zip)			4. Relationship of Reporting Person(s (Check all applicable) Director X Officer (give title below) Chief Accounting	10% Owner Other (spec below)	(Mo 6. I Ap	ndividual or Joint/ plicable Line) X Form filed by	te of Original Filed Group Filing (Check y One Reporting Person y More than One Reporting
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)4.		4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock ⁽¹⁾			24,817	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercis Expiration Dat (Month/Day/Ye	te	3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversion or Exercise	cise (D) or Indirect (I) ve (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		

Explanation of Responses:

1. Includes an aggregate of 13,058 shares of common stock issuable pursuant to restricted stock units that have not vested.

Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

/s/ Lewis W. Moorehead

** Signature of Reporting Person

04/14/2025

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Section 16 Power of Attorney

Know all by these presents, that the undersigned hereby constitutes and appoints each of, Brian E. Cabrera, Tara La Bree, Grace Lee, and Seetha Balasubramanian, signing singly, the undersigned's true and lawful attorney-in-fact to:

1. Execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Quantum Corporation (the "Company"), Forms 3, 4, 5, and 144 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

2. Do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

3. Take any other action in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of April 11, 2025.

By: <u>/s/ Lewis W. Moorehead</u> Name: Lewis W. Moorehead Title: Chief Financial Officer