FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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|    |    |    |    |   |    |

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defens<br>10b5-1(c). See Ins | e conditions of Rule<br>struction 10. |          |  |  |  |                        |
|--|---------------------------------------|----------|--|--|--|------------------------|
| 1. Name and Address White Yue Zl         | s of Reporting Person                 | ·        | 2. Issuer Name <b>and</b> Ticker or Trading Symbol  QUANTUM CORP /DE/ [ QMCO ] |  | tionship of Reporting Personall applicable) Director | on(s) to Issuer        |
| (Last)                                   | (First)                               | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 10/01/2024                    |  | Officer (give title below)                           | Other (specify below)  |
| 224 AIRPORT F<br>SUITE 550               | PARKWAY                               |          | 4. If Amendment, Date of Original Filed (Month/Day/Year) 10/21/2024            | 6. Individual or Joint/Group Filing (Check Applicable I     X Form filed by One Reporting Person |  | orting Person          |
| (Street) SAN JOSE                        | CA                                    | 95110    |  |  | Form filed by More than                              | o One Reporting Person |
| (City)                                   | (State)                               | (Zip)    |  |  |  |                        |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction Code (Instr. |   | 4. Securities Ad<br>Disposed Of (D |               |       | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | Form: Direct (D)<br>or Indirect (I) | Beneficial<br>Ownership |
|---------------------------------|--|---|--------------------------|---|------------------------------------|---------------|-------|--|-------------------------------------|-------------------------|
|                                 |  |   | Code                     | v | Amount                             | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)                                     |                                     | (Instr. 4)              |
| Common Stock                    |  |   |                          |   |                                    |               |       | 29,139(1)  | D                                   |                         |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3 | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | <br>3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Ownership<br>Form:<br>Direct (D) | Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|---|---|---|--|-----|--|--------------------|--|-------------------------------------|---|--|----------------------------------|---------------------------------------|
|   |   |   | Code                                    | v | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |                                  |                                       |

## **Explanation of Responses:**

1. This amendment to the original Form 4 filed on October 21, 2024 is being filed to correct the amounts of securities beneficially owned by the reporting person to reflect the 1-for-20 reverse stock split that was effective as of August 26, 2024. The amounts of securities beneficially owned by the reporting person reported in Column 5 of Table I of the original Form 4 were reported on a pre-split basis. The amounts shown in Column 5 of Table I of this amendment reflect the amounts that should have been reported in Column 5 of Table I of the original Form 4 after taking into account the reverse stock split.

### Remarks:

/s/ Tara La Bree, Attorney-In-Fact for Yue Zhou White 11/19/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.